

Capps Certificates

January 2018



This certificate is granted and awarded by the authority of the Nadcap Management Council to:

Capps Manufacturing Inc.

2121 S Edwards St
Wichita, KS 67213-1868
United States

This certificate demonstrates conformance and recognition of accreditation for specific services, as listed in www.eAuditNet.com on the Qualified Manufacturers List (QML), to the revision in effect at the time of the audit for:

Heat Treating

Certificate Number: 4040183737
Expiration Date: 31 October 2018

Joseph G. Pinto
Executive Vice President and Chief Operating Officer



This certificate is granted and awarded by the authority of the Nadcap Management Council to:

Capps Manufacturing Inc.

**2121 S Edwards St
Wichita, KS 67213-1868
United States**

This certificate demonstrates conformance and recognition of accreditation for specific services, as listed in www.eAuditNet.com on the Qualified Manufacturers List (QML), to the revision in effect at the time of the audit for:

Chemical Processing

Certificate Number: 4040173392
Expiration Date: 31 January 2018

Joseph G. Pinto
Executive Vice President and Chief Operating Officer



This certificate is granted and awarded by the authority of the Nadcap Management Council to:

Capps Manufacturing Inc.

**2121 S Edwards St
Wichita, KS 67213-1868
United States**

This certificate demonstrates conformance and recognition of accreditation for specific services, as listed in www.eAuditNet.com on the Qualified Manufacturers List (QML), to the revision in effect at the time of the audit for:

NonDestructive Testing

Certificate Number: 4040166138
Expiration Date: 31 July 2018

Joseph G. Pinto
Executive Vice President and Chief Operating Officer



CERTIFICATE OF REGISTRATION

This is to certify that

Capps Manufacturing Inc.

2121 South Edwards, Wichita, Kansas 67213 USA

The above organization has been audited in accordance with the requirements of AS9104/1:2012. QMI-SAI Canada Limited (SAI Global) located in Toronto, Ontario, Canada is accredited under the Industry Controlled Other Party (ICOP) scheme. The certificate is issued at the accreditation location in Toronto, Canada.

operates a

Quality Management System

which complies with the requirements of

ISO 9001:2015 + AS9100D

for the following scope of certification

Fabrication of Sheetmetal Parts for the Aircraft Industry.

Certificate No.: CERT-0107841
File No.: 1500545
Issue Date: December 6, 2017

Original Certification Date: December 11, 2002
Certification Effective Date: December 6, 2017
Certification Expiry Date: December 5, 2020

Nicole Grantham
General Manager SAI Global Certification Services



AS9100D



Registered by:
QMI-SAI Canada Limited (SAI Global), 20 Carlton Court, Suite 200, Toronto, Ontario M5W 7H5 Canada. This registration is subject to the SAI Global Terms and Conditions for Certification. While all due care and skill was exercised in carrying out this assessment, SAI Global accepts responsibility only for proven negligence. This certificate remains the property of SAI Global and must be returned to them upon request.
To verify that this certificate is current, please refer to the SAI Global On-Line Certification Register: www.sai-global.com/cert





United States Department of State

Bureau of Political-Military Affairs
Directorate of Defense Trade Controls
Washington, D.C. 20522-0112

June 28, 2017

Ronald L. Capps, Vice President
Capps Manufacturing, Inc.
2121 S. Edwards St.
Wichita, KS 67213-1868
RON.CAPPS@CAPPSMFG.COM

REGISTRANT CODE: [REDACTED]
EXPIRATION DATE: 07/31/2018

Reference: Manufacturer Registration Statement and Fee Submission

Dear Mr. Capps:

The Office of Defense Trade Controls Compliance received your registration statement and fee to register as a manufacturer. We have reviewed your registration statement and your registration code is [REDACTED] which expires on 07/31/2018.

Any person who engages in the United States in the business of either manufacturing or exporting defense articles or furnishing defense services is required to register and keep that registration current with this office pursuant to the Arms Export Control Act (AECA) and the International Traffic in Arms Regulations (ITAR Part 122). Registration serves as a precondition to submitting an application for an export license or other approval from the Directorate of Defense Trade Controls (DDTC), or to use export exemptions. This registration does not satisfy the requirements for registering as a broker pursuant to ITAR Part 129.

As you are the senior officer empowered to sign the registration statement, we ask you to maintain records consistent with ITAR Section 122.5 regarding: 1) The key senior officer listed on the registration who will oversee the compliance program and be responsible for designating the direct employees who will serve as "empowered officials" at their place of employment, and 2) A list of qualified, direct employees who will serve as "empowered officials" by name, position, business unit, phone and fax numbers and email addresses. Please note that third parties (individuals who are not direct employees, such as consultants, subcontractors or outside counsel, for example) cannot serve as "empowered officials."

ITAR Section 122.5 requires you to maintain records concerning your registration and the manufacture, acquisition and disposition of defense articles; the provision of defense services; and information on political contributions, fees, or commissions (furnished or obtained, as required by ITAR Part 130). Records maintained shall be available at all times for inspection and copying by this office or by Customs officials. To maintain such records, managers,

supervisors and employees need appropriate training on APCA and ITAR requirements and must understand the individual and organizational ramifications of failure to comply. Ramifications may include shipment delay and/or shipment seizure by Customs and Border Protection, loss of export privileges, or criminal charges.

You may refer to the DDTC website for a Compliance Guide at <http://www.pmdtdc.state.gov/> and then click on the Compliance tab. The DDTC website also includes a copy of the ITAR, explanations of export licensing procedures, how to submit a license application, country sanctions, individuals/companies debarred by the Department of State, and other export matters. The website also includes procedures for requesting a commodity jurisdiction determination (ITAR Section 120.4) should you have questions on whether an article, services, or technical data is covered by the ITAR Part 121 (U.S. Munitions List).

Please include your registration code and the following statement on all registration correspondence to this office: "Under penalty according to federal law (22 CFR 127.2; 22 USC 2778; 18 USC 1001), [insert your name], as authorized by [name of company, if applicable] warrant the truth of the statements made herein." We recommend submitting for registration renewal well in advance to ensure this office receives the request up to 45 days before registration expiration. Your registration must be received at least 30 days before the expiration date. Registration must be current to apply for export licenses or other approvals, or to use export exemptions. Your registration is not current, for example, if you have not notified this office of any material change in the information contained in your Registration Statement (ITAR Section 122.4).

If you have any questions regarding registration, please contact us at (202) 668-1555 or DDTCResponseTeam@state.gov.

Sincerely,

Daniel Cook

Chief, Compliance, Registration & Enforcement